#### **TABLE OF CONTENTS**

Ш	NUCLEAR SSC DESIGN AND ANALYSIS REQUIREMENTS	3
1.0	NDC-3 STRUCTURES AND STRUCTURAL SYSTEMS	7
1.1	Acceptance Criteria	7
1.2	Loads	
1.3	Load Combinations	14
1.4	Analysis Procedures	15
1.5	Capacities	
1.6	Detailing Requirements	
1.7	Additional Structural Design Considerations.	
2.0	NDC-3 NONSTRUCTURAL SYSTEMS AND COMPONENTS	17
3.0	EXISTING HC 1 – 3 NUCLEAR FACILITIES WITH SSCS IN NDC-3	18
4.0	QUALITY ASSURANCE AND PEER REVIEW	18
APPI	ENDIX A: DESIGN OF ANCHORING TO CONCRETE	19
A.1	Description	19
A.2		
A.3	Applicable Codes and Standards	19
A.4	Applicable Industry Standards	20
A.5		
A.6		
A.7		
A.8	1	
A.9	$\mathcal{C}$	
A.1		22
	ENDIX B: DESIGN TABLES FOR DRILLCO MAXI-BOLT UNDERCUT	
ANC	HORS	23
APPI	ENDIX C: LIMIT STATE APPLICATION TO SSC EXAMPLES	27
A PPI	ENDIX D. DESIGN BASIS EARTHOUAKE LOADS	31

#### RECORD OF REVISIONS

Rev	Date	Description	POC	OIC
0	6/28/99	Initial issue in Facility Eng Manual.	Doug Volkman, PM-2	Dennis McLain, FWO-FE
1	2/09/04	Incorporated IBC & ASCE 7 in place of UBC 97; incorporated DOE-STD-1020-2002 versus 1994; incorporated concepts from DOE O 420.1A; FEM became ESM, an OST.	Mike Salmon, FWO-DECS	Gurinder Grewal, FWO-DO
2	5/17/06	Revised load combos since ACI 349 is specified for PC-3 R/C structures and the load factors in the current version of ASCE 7 (used for load combos) are inconsistent with the strength reduction factors in the current version of ACI 349, including new section 1.1.13 on crane and pipe restraint loads, companion table to go with former Table III-6 (i.e., Table III-6 became Tables III-6 and -7. OST became ISD.	Mike Salmon, D-5	Mitch Harris, ENG-DO
3	10/27/06	Administrative changes only. Organization and contract reference updates from LANS transition, 420.1A became 1B. Master Spec number/title updates. Became ISD, other administrative changes.	Mike Salmon, D-5	Kirk Christensen, CENG
4	6/19/07	Incorporated new seismic hazard analysis results into the DBE Response Spectra. Added Appendices A & B for concrete anchor design.	Mike Salmon, D-5	Kirk Christensen, CENG
5	6/20/11	Major revision. Added 1189 requirements; removed PC-4 requirements; new response spectra.	Mike Salmon, <i>D-5</i>	Larry Goen, CENG
6	3/27/15	Major revision. Incorporated DOE O 420.1C Chg 1 and DOE-STD-1020-2012 versus 2002. Eliminated historical 10-psf future-floor-DL and, for roofs, 30-psf min roof LL (Lr) and prohibition on LL reduction. Created Apps C & D for LS and DBE loads.	Mike Salmon, AET-2	Larry Goen, ES-DO

## **Contact the Structural Engineering Standards POC**

for upkeep, interpretation, and variance issues

Ch. 5 Section III	Structural POC/Committee
-------------------	--------------------------

#### III NUCLEAR SSC DESIGN AND ANALYSIS REQUIREMENTS

- A. This Section provides requirements for the design and analysis of hazard category 1, 2, and 3 (HC 1 3) nuclear facility structures, systems and components (SSCs) to include non-building structures. General requirements of ESM Chapter 5, Section I also apply.
  - 1. Requirements are also provided for facilities with biological hazards, or significant chemical or toxicological hazards.
- B. Per DOE Order 420.1C, Facility Safety, the design of HC 1 3 nuclear facilities is required to comply with DOE-STD-1189, Integration of Safety into the Design Process, and DOE-STD-1020, Natural Phenomena Hazards Analysis and Design Criteria for DOE Facilities.
- C. DOE O 420.1C applies to the design and construction of new HC 1-3 nuclear facilities and major modifications to them. With regard to the design and construction of "non-major modifications" (to existing nuclear facilities), DOE-STD-1020 includes associated requirements and guidance.
- D. DOE-STD-1189, Appendix A provides criteria for specification of the seismic design basis of SSCs. These criteria relate to radiological hazards only.
  - 1. Appendix A invokes the use of ANSI/ANS 2.26, and ASCE/SEI 43.<sup>1</sup>
  - 2. A seismic design basis consists of both a seismic design category (SDC, which is not the same as IBC SDC) and a limit state (LS) in order establish performance expectations for SSCs subjected to seismic hazards.
- E. Each SSC in a HC 1 3 nuclear facility must be assigned an NPH Design Category (NDC) for each NPH, which includes seismic (S), wind (W), flood (F), precipitation (P), and volcanic eruption (V).
  - 1. Each NPH has three design categories (1 3). For example, for seismic, the NDCs are SDC-1 SDC-3; for wind, WDC-1 WDC-3; etc.<sup>2</sup> (Use of NDC-4 and -5 categories is not expected at LANL so not addressed in this Chapter).
  - 2. Category 1 is associated with the smallest /lowest consequence of SSC failure, while category 3 is associated with the largest/highest consequence.
- F. The determination of which of the three categories applies (in a given design/evaluation, and for each NPH) shall be based on analysis of the severity of unmitigated consequences of SSC failure to both the collocated worker and the public using the categorization

<sup>2</sup> Use of NDC-1-3 only is also consistent with DOE-STD-1189

<sup>&</sup>lt;sup>1</sup> ANS Standard 2.26 was adopted by DOE for purposes of seismic design basis specification. The seismic design classifications of ANS 2.26 are to be used in association with DOE radiological criteria provided in DOE-STD-1189 Appendix A. It is intended that the requirements of Section 5 of ANS 2.26 and Appendix A of ANS 2.26 be used for the selection of the appropriate Limit States for SSCs performing the safety functions specified. The resulting combination of Seismic Design Category (SDC) and Limit State (LS) selection provides the seismic design basis for SSCs to be implemented in design through ASCE 43.

methodology given in DOE-STD-1189, App. A performed by the safety analyst. The NDC for LANL nuclear<sup>3</sup> facilities shall per Table III-1.

**Table III-1. NDC Determination** 

	<b>Unmitigated Consequence of SSC Failure from a Seismic Event</b>					
Category <sup>4</sup>	Collocated Worker	Public				
NDC-1	dose < 5 rem	not applicable				
NDC-2	5 rem < dose < 100 rem	5 rem < dose < 25 rem				
NDC-3	dose > 100 rem	dose > 25 rem				

The following supplemental direction to ANS 2.26 shall be used when selecting SDCs and LSs:

- 1. To ensure that SSC Limit State selected for determining the permissible maximum stress, strain, deformation, or displacement is consistent with the safety function(s) of the SSC as determined from hazard and accident analyses, the safety analyst (responsible for hazard and accident analysis), the NPH Design Engineer (responsible for seismic design, and for coordinating the selection of SSC Limit State and SSC Seismic Design Category; likely to be the structural Engineer of Record, or SEOR), and the Equipment Expert (responsible for the mechanical or electrical design of the equipment, likely to be the Cognizant System Engineer, or CSE) must work together and evaluate the functional requirements of the SSC and its subcomponents in relation to their modes of failure.<sup>5</sup>
  - a. There are four limit states to be considered:

Limit State	An SSC designed to this Limit State
A	may sustain large permanent distortion short of collapse and instability (i.e., uncontrolled deformation under minimal incremental load) but shall still perform its safety function and not impact the safety performance of other SSCs.
В	may sustain moderate permanent distortion but shall still perform its safety function. The acceptability of moderate distortion may include consideration of both structural integrity and leak-tightness.
С	may sustain minor permanent distortion but shall still perform its safety function. An SSC that is expected to undergo minimal damage during and following an earthquake such that no post-earthquake repair is necessary may be assigned this Limit State. An SSC in this Limit State may perform its confinement function during and following an earthquake.
D	shall maintain its elastic behavior. An SSC in this Limit State shall perform its safety function during and following an earthquake. Gaseous, particulate, and liquid confinement by SSCs is maintained. The component sustains no damage that would reduce its capability to perform its safety function.

<sup>&</sup>lt;sup>3</sup> Per DOE-STD-1020 2.1.5 & 2.3.9 (and indicated subsequently herein), Table III-1 could also apply to non-nuclear facilities with significant biological, chemical, or toxicological hazards.

<sup>&</sup>lt;sup>4</sup> In accordance with DOE-STD-1189 Appendix A and DOE-STD-1020 para. 2.2.2.5, Table III-1 includes only NDC-1, 2 and 3. However, ANS 2.26 includes SDC-4 and 5. Per 1020 (by way of reference to 1189 App. A for the assignment of NDCs), NDC-4 and NDC-5 will rarely occur at DOE sites.

<sup>&</sup>lt;sup>5</sup> From DOE-STD-1020-2012

- b. <u>In conceptual design</u>, if there are no bases for defining seismic-related design basis accidents (DBAs), then Hazard Category 2 facility structural designs must default to ANSI/ANS 2.26 SDC-3, Limit State D.
- 2. If the safety functions of an SSC include <u>confinement and/or leak tightness</u>, irrespective of the Seismic Design Category (SDC) of the SSC, a Limit State C or D must be selected, unless the SSC functional requirements can be described as given in Limit State B column for SSC Type "confinement barriers and…" in ANS 2.26, Appendix B.<sup>6</sup>
  - a. For convenience, ANS 2.26 Appendix B, Examples of Application of LSs to SSCs, is adapted for LANL and included herein as Appendix C.
- G. NPH design of facilities with biological hazards, or significant chemical or toxicological hazards, shall be based on an appropriate NDC level. Specifically, the NDC for SSCs that provide protection from biological, chemical or toxicological hazards shall be determined based on the unmitigated consequences of SSC failure from an NPH event. The methodology for this characterization should be consistent with DOE-STD-1189 and direction from the responsible program office. In the case of seismic NPH, the LS shall be chosen to be consistent with safety and containment criteria.
  - 1. If the SSC in question is also for nuclear safety then the higher of the NDCs determined from the application of the radiation dose criteria and the criteria for biological/chemical/ toxicological dose shall be used.
  - 2. If biological, chemical, or toxicological hazards in a non-nuclear facility are not significant then NPH design can follow the IBC (i.e., starting with assignment of Risk Category in accordance with paragraph 1604.5).
- H. NPH Design Category (NDC) -1 and -2 SSCs:
  - 1. SDC-1 and SDC-2 SSCs shall be designed following the IBC as shown Table III-2 herein.
    - a. Nonstructural elements attached to SDC-1 and SDC-2 structures shall be designed in a manner that allows for seismic deformations of the structure without excessive damage to the structure.
  - 2. WDC-1 and WDC-2 SSCs shall be designed using the criteria in IBC for Risk Category II and Risk Category IV facilities, respectively.
  - 3. FDC-1 and FDC-2 SSCs<sup>8</sup> shall be designed such that the following requirements are met:

-

<sup>&</sup>lt;sup>6</sup> DOE-STD-1189-2008, App. A

<sup>&</sup>lt;sup>7</sup> Treating facilities with bio hazards in this manner comes from a recommendation in the draft 1020 HDBK. And the same goes for G.2 (i.e., IBC-design for insignificant bio, chem, toxic hazards).

<sup>&</sup>lt;sup>8</sup> Pertains to such SSCs in/on FDC-1 and FDC-2 facilities *only*. In other words, this provision (H.3) does not pertain to FDC-1 and/or FDC-2 SSCs within/on facilities with FDC-3 SSCs. For FDC-1 and FDC-2 SSCs in a facility that also has FDC-3, see Flood Loads under "NDC-3 SSCs" herein. On a related note, while the FDC of a facility is likely the same as the highest FDC of the SSCs in/on it, the DBFL for a facility and its SSCs might differ. See DOE-STD-1020 Tables 5-2A and 5-2B, and DOE-STD-1088 para.5.1.6, for more detail.

- a. The design basis flood loads, F<sub>a</sub>, resulting from flood hazards shall be based on IBC requirements for Risk Category II and Risk Category IV facilities, respectively.<sup>9</sup>
- b. For SSCs that cannot be located above the Design Basis Flood Level (DBFL), the applicable provisions of DOE-STD-1020 paras. 5.5.5.1 and /or 5.5.5.2 shall be met.
- 4. PDC-1 and PDC-2 SSCs shall be designed using the criteria in IBC for Risk Category II and Risk Category IV facilities, respectively, using the applicable Importance Factor.
- 5. Lightning design, specifically SSC categorization for lightning hazards, and designing SSCs for lightning protection is addressed in ESM Ch. 7, Electrical.
- 6. VDC-1 and VDC-2 SSCs<sup>10</sup> shall be designed such that both of the following requirements are met:
  - a. The load combinations in IBC shall be used substituting the ash load, V, for the snow load, S, in the load combination equations.
  - b. Design shall consider ash density and the impact of precipitation combined with ashfall. Refer to DOE-STD-1020, para. 8.4.4 for additional detail guidance.
- 7. General Design Criterion: Any SSC that may fail to perform its safety functions when subjected to water intrusion or submergence (resulting from flooding), flooding (resulting from local precipitation), or wind-borne missiles (resulting from wind-related hazards), shall be protected, either by barriers designed to withstand these effects, or by placing the SSCs in a location that precludes the occurrence of them. For requirements and guidance on such protection refer to the following paragraphs of DOE-STD-1020:

a. Wind: 4.4.2.3

b. Flood: 5.5.4.1, 5.5.4.7, 5.5.5.1, 5.5.5.2, and 5.5.5.7

c. Precipitation: 7.5.4.3, and 7.5.3.5

<sup>&</sup>lt;sup>9</sup> IBC requirements are predicated on the establishment of flood hazard areas (FHAs). LANL has not completed a probabilistic hazard flood assessment of the site; however, LA-14165 Section 2.6 provides sufficient information to allow for design to this hazard, subject to the following changes: DOE-STD-1020 and DOE-STD-1023 will be taken to be (=) DOE-STD-1020-2012, PC-1 = FDC-1, PC-2 = FDC-2, ASCE 24 = ASCE 24-13, ASCE 7 = ASCE 7-10, IBC 2003 = 2015 IBC, and the Source of flooding in Table 2-19 will be edited to read in accordance with DOE-STD-1020 Table 5-3 (i.e., add Case 3 for both Levee/Dam Failure and Local Precipitation; and delete Snow as a source of flooding). Finally, note that IBC requires compliance with both ASCE 24 and ASCE 7, and that ASCE 7 uses symbols other than F<sub>a</sub> to define the flood loads that must be designed for (which are stipulated in DOE-STD-1020-2012, para. 5.5.1.5, under its definition of F<sub>a</sub>).

<sup>&</sup>lt;sup>10</sup> A formal probabilistic hazard assessment for volcanic activity at the site has not been performed. However, in LA-14426, "Preliminary LANL Volcanic Hazards Evaluation" indicates that the annual probability of volcanic eruption somewhere within the LANL region in on the order of 1x10<sup>-5</sup>. Based on this low probability of occurrence, design specifically for the volcanic hazard is not considered.

Table III-2. Effect of Limit State on SDC-1/SDC-2 Design

				Limit State					
SDC	IBC Risk Category	$\mathbf{I}^{(1)}$	A	В	C	D			
1	II	1.0	$R_a = R^{(2)}$	$R_a = 0.8 R,$ but $R_a \ge 1.2$	$R_a = 0.67 R,$ but $R_a \ge 1.2$	$R_a \ge 1.0$			
2	IV	1.5	N/A	$R_a = R$	$R_a = 0.833 \text{ R},$ but $R_a \ge 1.2$	$R_a \ge 1.0$			

<sup>(1):</sup> I = Importance Factor from Table 1.5-2 of ASCE 7

With the exception of the requirements specified above (i.e., Para. H), the design/analysis of NDC-1 and NDC-2 SSCs shall be in accordance with Section II of this Chapter. The design/analysis of NDC-3 SSCs shall be per this Section (III).

#### 1.0 NDC-3 STRUCTURES AND STRUCTURAL SYSTEMS

#### 1.1 Acceptance Criteria

#### 1.1.1 General

- A. The structural demands (member forces, displacements, etc.) shall be calculated using the loads of paragraph 1.2, the load combinations of paragraph 1.3, and the analysis procedures of paragraph 1.4.
- B. Linear analyses shall meet the strength acceptance criteria in paragraph 1.1.2(A) and the displacement criteria in paragraph 1.1.3.
- C. Nonlinear analyses shall meet the displacement acceptance criteria in paragraph 1.1.3. The capacity of yielding elements in nonlinear analyses shall meet the strength design criteria in paragraph 1.1.2(B).
- D. The following materials may be used for the design of NDC-3 structures and structural systems provided that they are designed in accordance with the material design code/standard, as amended by these criteria.

Material Design Code/Standard<sup>11</sup>

Reinforced Concrete ACI 349\*

Structural Steel ANSI/AISC N690\*
Stainless Steel ANSI/ASCE 8
Cold Formed Steel AISI Standard S100

Reinforced Masonry TMS 402/ACI 530/ASCE 5 (ACI 530)

<sup>(2):</sup>  $R = Response Modification Coefficient given in ASCE 7; <math>R_a = Actual (reduced) R$  to be used in the design. Refer to DOE-STD-1020 Table 3-1, footnote 1 for more details.

<sup>&</sup>lt;sup>11</sup> Use the most recent version of the applicable material codes in force in agreement with the established code of record. Project design documents shall contain the dates for the code of records. Where no code of record is established for the modification of existing facilities, the engineer in responsible charge shall document the revision of the code used for design.

- \* NOTE: Per DOE O 420.1C Chg 1, in addition to being applicable to the design of Safety Class (SC) SSCs, both ACI 349 and AISC N690 "...must be evaluated for applicability..." along with ACI 318 and AISC 360 for Safety Significant (SS) SSCs. SS SSCs protect the collocated worker; at LANL, SS SSCs that do so at the Category NDC-3 level must be designed to ACI 349 and ANSI/AISC N690. For those SS SSCs that fall into the NDC-1 or NDC-2 categories because of the unmitigated consequences, they must be designed, at a minimum, to ACI 318 and AISC 360, consistent with the requirements in Section II of this Chapter.
- E. Adoption of a material design code/standard in paragraph 1.1.1(D) includes all provisions in that code/standard, including seismic detailing provisions, as amended by this criteria.

#### F. Seismic

1. In designing a new SDC-3 facility that has a direct-confinement safety function, and/or contains SSCs that do, the LS shall be D unless LS C is proven (by analysis) to be adequate.

#### G. Wind

Barriers and other SSCs that are provided for wind or missile protection of SSCs with safety functions, shall be placed in a WDC category equal to, or higher than, the category of the SSC to be protected. These protective SSCs, or barriers, shall be designed using stress, strain, or deformation limits appropriate for the protective function and the failure mode of the barrier.

#### H. Flood

- 1. For a facility in a flood-prone area which has SSCs of FDC-3, dikes, barriers, and/or enclosures shall be built for providing flood protection to the SSCs. These dikes, barriers, and enclosures shall be placed in an FDC equal to the category of the SSC(s) to be protected.
- 2. Protective SSCs (like those mentioned in 1.1.1.H.1) shall be designed using stress, strain, deformation limit, or leak tightness criteria appropriate for the protective function and the failure mode of the barrier. DOE-STD-1020, Section 5.5.4.1 provides design criteria for protective SSCs.

#### I. Precipitation

- 1. The failure of the safety function of some SSCs, when subjected to a precipitation-related hazard, can occur not only because of excessive deformation or distortion (e.g., roof loading), but also as a result of inundation of the SSC or intrusion of precipitation water runoff into or onto the SSC. In selecting an SSC PDC and SSC design methods and criteria, such inundation and water intrusion-related failure modes (e.g., shorting or malfunctioning of an electric circuit or equipment) shall also be considered in determining unmitigated SSC failure consequences.
- 2. Barriers, enclosures, dikes, and other SSCs, that are provided for precipitation protection of SSCs, with safety functions, shall be placed in a PDC equal to the SSC to be protected.

- 3. Protective SSCs (like those mentioned in 1.1.1.I.2) shall be designed using stress, strain, deformation limit, or leak tightness criteria appropriate for the protective function and the failure mode of the barrier following the requirements in DOE-STD-1020 Section 5.5.4.1.
- 4. Since LANL is a large site with varying topography, the precipitation runoff levels may vary from facility to facility.

#### J. Volcanic Eruption

1. Until such time as a credible and quantifiable risk is determined, this risk shall be taken as zero<sup>12</sup>. Note that LANL is undertaking new natural phenomena hazards assessments and new criteria may exist for ash fall loads due to volcanism; check with the Chapter 5 POC for criteria on ashfall loads prior to undertaking new nuclear design activities.

#### 1.1.2 Strength Acceptance Criteria

A. For linear analyses, the total demand acting on an element shall be less than or equal to the element's code capacity:

Demand 
$$\leq$$
 Capacity (Eq. III-1)

where the code capacity is developed in accordance with paragraph 1.5.

B. For nonlinear analyses, the capacity of all elements, including yielding elements, shall be limited to code capacities (i.e., design strengths). Some of the more common design strengths are as follows:

where  $\phi M_n \phi V_n$  and  $\phi P_n$  are the design strengths in flexure, shear, and axial force, respectively which are developed in accordance with paragraph 1.5.

#### 1.1.3 Deformation Acceptance Criteria

- A. The deformation limits, serviceability requirements and deformation acceptance criteria for impulsive and impact loads of the material codes/standards identified in 1.1.1(D) shall apply.
- B. The deformation acceptance criteria for seismic loads shall be in accordance with Section 5.2.3 of ASCE 43.

<sup>12</sup> LA-14426, *Preliminary Volcanic Hazards Evaluation for [LANL] Facilities and Operations*, Sept. 2010, concluded

<sup>&</sup>quot;... Volcanism in the vicinity of the Laboratory is unlikely within the lifetime of the facility (ca. 50 - 100 years) but cannot be ruled out..."

#### $1.2 \quad Loads^{13}$

#### **1.2.1 Dead Load (D)**

- A. The value of D shall include the weight of all materials of construction incorporated into the building including, but not limited to, walls, floors, roofs, ceilings, stairways, nonstructural components (e.g., ceilings, built-in partitions, finishes, cladding, fixed service equipment, piping, etc.) and other similarly incorporated items, including the weight of cranes.
  - 1. For evaluation of seismic response, not only must the contribution of nonstructural components to D be considered, but their contribution to stiffness must be as well.
- B. Unit weights of 150 pcf for reinforced concrete and 490 pcf for rolled steel shall be used in the derivation of D.
- C. The value of D used in the evaluation of existing facilities shall be based on the best estimate of existing dead loads and shall include consideration of/allowance for future use.

#### 1.2.2 Live Load, Experiment Blast Load ( $L_{ER}$ )

A. Determine L<sub>EB</sub> based on project-specific requirements. As necessary/applicable, L<sub>EB</sub> shall include the blast loading on the outside, or inside, of a building from experimental explosions, and/or the loads that must be resisted by a building from blasts/explosions occurring within experimental-explosion containment structures.

#### 1.2.3 Crane Loads (C or $C_{cr}^{-14}$ )

A. Determine C (or C<sub>cr</sub>) in accordance with Chapter 4.0, Section 4.9 of ASCE 7, and based on project-specific requirements.

#### 1.2.4 Fluid Loads (F)

A. Determine F – the load due to fluids with well-defined pressures and maximum heights (e.g., fluid in tanks, etc.) – based on project-specific requirements.

#### 1.2.5 Lateral Soil Pressure Loads (H)

- A. Subterranean structural walls shall be designed to resist H, assuming steady-state/at-rest conditions, the magnitude of which shall be specified by a geotechnical report approved by LANL. A default value of 0.5 for at-rest lateral soil pressure coefficient, K<sub>o</sub>, may be used to determine H<sup>15</sup>.
- B. In determining H, the density of the backfill or native soil, whichever is greater, shall be used.
- C. The value of H shall be increased if soils with expansion potential are present at the site (based on criteria provided in the project geotechnical report).

<sup>&</sup>lt;sup>13</sup> The load criteria given are consistent with the requirements of DOE-STD-1020, many of which are consistent with the minimum load requirements in ASCE 7. Regarding NPH-load criteria, by and large, DOE-STD-1020 requires that they be developed through the use of site-specific characterization and probabilistic hazard assessment.

<sup>&</sup>lt;sup>14</sup> AISC N690 uses "C," while ACI 349 uses C<sub>cr</sub>

<sup>&</sup>lt;sup>15</sup> Average value for cohesionless soils per Bowles (*Foundation Analysis and Design*, 3<sup>rd</sup> Ed.; Fig. 11-2), and a reasonable value for normally consolidated clays per Winterkorn & Fang (*Foundation Engineering Handbook*, pp. 488).

### **1.2.6** Precipitation Loads (S, R, & D<sub>i</sub> for building roofs <sup>16</sup>)

- A. Snow Loads (S): Determine S using the procedure prescribed in Chapter 7.0 of ASCE 7 as amended by the following:
  - 1. ASCE 7 Section 7.2 Ground Snow Loads,  $p_g$ , substitute the following text: Use 32 psf for  $p_g$ , the ground snow load used in determining S for roofs of PDC-3 structures. <sup>17</sup>
  - 2. ASCE 7 Section 7.3.3 *Importance Factor, I*, substitute the following text: Use 1.2 for the value of I for PDC-3 structures.
- B. Rain Loads (R): Determine R using the procedure prescribed in Chapter 8.0 of ASCE 7 as amended by the following:
  - 1. ASCE 7 Section 8.3 Design Rain Loads, add the following text: Use 4.6 inches/24 hours as the rainfall rate used to determine R. 18
  - 2. In addition, all of the provisions of ASCE 7 Chapter 8 shall be complied with/evaluated.
  - 3. Finally, roofs shall have positive drainage, and shall be equipped with secondary drains or scuppers.
- C. Ice Loads ( $D_i$ , Atmospheric Ice Weight):  $D_i$  shall be considered for ice-sensitive structures (i.e., structures of relatively small weight and large exterior surface areas, such as lattice structures, guyed masts, open catwalks and platforms, signs, etc.). Thus,  $D_i$  need not be considered for buildings and building-like structures.

Determine  $D_i$  using the procedure prescribed in Chapter 10.0 of ASCE 7 as amended by the following:

- 1. Use 0.25 inch for t, the nominal ice thickness due to freezing rain.<sup>20</sup>
- 2. Use 2.5 for I<sub>i</sub>, the importance factor or multiplier on ice thickness.<sup>21</sup>
- 3. The wind load concurrent with ice loading,  $W_i$ , shall be based on a 40-mph 3-second-gust wind speed.<sup>22</sup>
- 4. Use 1.0 for I<sub>w</sub>, the importance factor for wind on ice-covered SSCs.<sup>23</sup>

<sup>&</sup>lt;sup>16</sup> DOE-STD-1020 stipulates two precipitation hazards: 1. Site flooding from extreme local precip events (vs. flooding from extreme regional events, rivers, levee/dam failure, etc.), and 2. Building roof loading. Only the latter hazard is addressed herein. The former hazard is addressed in ESM Ch. 3, Civil.

<sup>&</sup>lt;sup>17</sup> This load comes from LA-UR-06-6329: *Site-Specific Extreme Rainfall and Snow Hazard Curves at Los Alamos National Laboratory, Los Alamos, New Mexico*; E. Lawrence, 10/15/06. Table 4 of this document lists snow loads for many return periods (RPs), one of which is not the 2,500-yr RP required by DOE-STD-1020 for PDC-3 structures. Given the 31.55 psf in Table 4 for a 2,000-yr. RP, and the loads associated with other RPs, it seems reasonable to round the 31.55 up to 32 to arrive at the 2,500-yr value.

<sup>&</sup>lt;sup>18</sup> Rate from LA-UR-06-6329. Table 1 (which was used to develop Table 4 referred to in footnote above) lists the amounts (in inches) of daily rainfall for many RPs, one of which is not the 2,500-yr RP required by DOE-STD-1020 for PDC-3 structures. Given the 4.59 inches for 2,000 yrs., and the amounts associated with other RPs, it seems reasonable to round the 4.59 up to 4.6 to arrive at the 2,500-yr value.

<sup>&</sup>lt;sup>19</sup> LA-14165 indicates that, while ice storms don't occur at LANL, icing can still occur on ice-sensitive SSCs ("...in low spots where cold air settles...") at LANL

<sup>&</sup>lt;sup>20</sup> L.A-14165

 $<sup>^{21}</sup>$  Ibid. Values in this report for t and  $I_i$  were chosen from a range of values such that they roughly correlated with research performed by Mertz. Also, ASCE 7 Table C10-1 indicates  $I_i$  = 2.5 for 1,400-yr RP, and 1,400 yrs is as high as the table goes.  $^{22}$  From LA-14165 and ASCE 7

<sup>&</sup>lt;sup>23</sup> From ASCE 7 Table C10-1, which indicates 1.0 applies to all RPs (i.e., 25 – 1,400 yrs).

#### 1.2.7 Thermal Loads ( $T_0$ and $T_a$ )

- A. Determine T<sub>o</sub> (i.e., normal loads encountered during normal operating, start-up, or shutdown conditions) and T<sub>a</sub> (i.e., abnormal loads generated by a postulated accident, including T<sub>o</sub>), based on the most critical time-dependent and /or position-dependent temperature variations that are applicable to the project-specific requirements.
  - 1. The use of "loads" herein applies to internal moments and forces caused by temperature distributions within the structure (and other temperature-induced loads in the case of  $T_{\rm o}$ ).
- B. In the design /analysis of concrete structures, T<sub>o</sub> and T<sub>a</sub> shall be considered in accordance with/as required by ACI 349 Appendix E.
  - 1. An acceptable alternative for considering the effects of T<sub>o</sub> and T<sub>a</sub> is ACI 349.1R, Reinforced Concrete Design for Thermal Effects on Nuclear Power Plant Structures.<sup>24</sup>

#### 1.2.8 Pipe/Equipment Reactions ( $R_0$ and $R_a$ )

- A. Determine  $R_o$  (i.e., normal loads encountered during normal operating, start-up, or shutdown conditions, excluding D and earthquake reactions) and  $R_a$  (i.e., abnormal loads generated by a postulated accident and including  $R_o$ ), based on the most critical transient or steady-state condition that is applicable to the project-specific requirements.
  - 1. The use of "loads" herein applies to piping and equipment reactions, or related internal moments and forces.

#### 1.2.9 Operating Basis Earthquake (E<sub>0</sub>)

A. The operating basis earthquake is applicable to nuclear power plants (i.e., it's not applicable at LANL). *Refer to ACI 349 Definitions and AISC N690 Glossary for more detail.* 

#### 1.2.10 Wind Loading (W)

- A. Determine W using the procedure prescribed in Chapter 26.0 of ASCE 7 as amended by the following:
  - 1. ASCE 7 Section 26.5.1 Basic Wind Speed, substitute the following text: Use 120 mph for the basic wind speed, V, used in the determination of W on buildings and other structures for WDC-3 SSCs. <sup>25</sup>
  - 2. ASCE 7 Section 26.7.3 Exposure Categories, substitute the following text: Exposure C shall apply for all cases. <sup>26</sup>
  - 3. Replace Section 26.10.3 Protection of Openings, with the following:

<sup>&</sup>lt;sup>24</sup> The reason for this allowance is, as indicated at the outset of 349.1R, 349 App. E is intended for nuclear power plant structures, while 349.1R is not restricted to such.

<sup>25</sup> DOE-STD-1020 allows for use of ANS-2.3-2011 to determine V. Using the 2,500-yr. RP required by 1020 for WDC-3, and

<sup>&</sup>lt;sup>25</sup> DOE-STD-1020 allows for use of ANS-2.3-2011 to determine V. Using the 2,500-yr. RP required by 1020 for WDC-3, and ANS-2.3 Figs. 1 and 4 [Fig. 1 indicates LANL is barely in Region III (i.e., it's almost in Region II), which results in use of Fig. 4], and Table 3, V = 118 mph; however, since LANL is located in special wind region (SWR, per ASCE 7 Sect. 26.5.2), ANS-2.3 recommends use of a site-specific study (SSS) to determine V. In lieu of a SSS, since ASCE 7 Fig. 26.5-1B (RC III and IV) indicates V = 120 mph for LANL (i.e., disregarding its location in SWR; ref. ESM Ch. 5, Sect. II, para. 1.3.B), use of V = 120 mph is more reasonable than use of 118 mph.

<sup>&</sup>lt;sup>26</sup>Based on ASCE 7 26.7.2 and 26.7.3, and the fact that Exposure D at LANL is extremely rare, if not nonexistent

#### 26.10.3 Protection Against Wind-Borne Missiles

WDC-3 SSCs that have the potential to be adversely affected by the impact of missiles resulting from the design basis wind shall be evaluated using the missile characteristics given in 26.10.3.1.<sup>27</sup>

26.10.3.1 Wind-borne Missile Characteristics<sup>28</sup>

A 2"×4" timber plank, weighing 15 pounds, with a 50-mph horizontal velocity, at a height < 30 ft above grade.

#### 1.2.11 Design Basis Earthquake (E, E<sub>s</sub>, or E<sub>ss</sub>)

Refer to Appendix D of this document for DBE loads and related requirements.

#### 1.2.12 Tornado Loading (W<sub>t</sub>)

A. Tornado hazard need not be considered at LANL; hence, W<sub>t</sub> shall be taken as zero.<sup>29</sup>

#### 1.2.13 Differential Pressure (P<sub>a</sub>)

- A. Determine P<sub>a</sub> (i.e., abnormal load generated by a postulated accident) based on the project-specific requirements.
  - 1. The use of "load" herein applies to maximum differential pressure load, or related internal moments and forces.

#### 1.2.14 Impulsive and Impactive Loads (Y<sub>r</sub>, Y<sub>i</sub>, Y<sub>m</sub>)

- A. Determine Y<sub>j</sub>, Y<sub>m</sub>, and Y<sub>r</sub> (i.e., abnormal loads generated by a postulated accident) based on the project-specific requirements.
  - 1. The use of "loads" herein applies to the specific type of load as follows:
  - $Y_i$  = Jet impingement load, or related internal moments and forces, on the structure.
  - Y<sub>m</sub>= Missile impact load, or related internal moments and forces, on the structure.
  - Y<sub>r</sub> = Loads, or related internal moments and forces, on the structure generated by the reaction of the broken high-energy pipe (during the postulated accident).
- B. For more detail on these loads, specific examples of them, how to design for them, etc., refer to ACI 349 and AISC N690.

#### 1.2.15 Self-Straining Forces

A. In the design for normal loads, consideration shall be given to the forces due to such effects as ambient temperature change, prestressing, vibration, impact, shrinkage, creep, unequal settlement of supports, construction, and testing.

<sup>&</sup>lt;sup>27</sup> It's important to note the difference between missiles generated by straight-line winds and those generated by tornado winds. DOE-STD-1020, Sect. 4.3.3.3 (applicable since ANS-2.3 is being used for wind-related hazard design parameters), and ANS-2.3 Table 4 require consideration of missile impacts generated by tornado wind speeds > 100 mph. Using the figures and tables of ANS-2.3 referred to in previous footnotes, the WDC-3 tornado wind speed at LANL is < 100 mph; thus, missile impact resulting from such is not applicable. However, missiles impacts can result from straight-line winds. See footnote 26 for more detail.

<sup>28</sup> Ref. DOE-STD-1020-2002, Table 3-1; and LANL ESM Ch. 5 Sect. III, rev. 5, para. 1.2.12.4. ANS-2.3 Sect. 4, Windgenerated missiles, indicates that wind speeds > 110 mph can generate missiles that are large enough to cause structural damage. Given this, LANL's 120-mph WDC-3 wind speed, and DOE-STD-1020-2002 inclusion of missile criteria for wind (and tornado), it is prudent to require consideration of impact by this missile.

<sup>&</sup>lt;sup>29</sup> Ref. DOE-STD-1020 Table 4-1 and para. 1.2.10.1 herein. This is consistent with LA-14165 Sects. 2.3.2 and 2.3.3.

- 1. Unless specifically addressed through analysis, the effects of self-straining forces shall be accommodated by placement of relief joints, suitable framing systems, or other details.
- B. Where the structural effects of differential settlement, creep, shrinkage, or expansion of shrinkage-compensating concrete are significant, they shall be included with the dead load, D, in the load combinations in paragraph 1.3. Estimation of these effects shall be based on a realistic assessment of such effects occurring in service.

#### 1.2.16 Flood Loads

- A. New FDC-3 SSCs shall be located on mesa tops since they are not susceptible to the flood-related hazards that are otherwise applicable at LANL.
- B. Hazards from localized flooding resulting from extreme precipitation (i.e., snow, rain, or ice), and the design of site drainage systems to mitigate these hazards, are addressed in DOE-STD-1020 Sections 7.3 7.5.
  - 1. Per 1020 Section 7.2.2.8, new safety-related structures shall not be built in areas where flooding from site precipitation can occur unless flood mitigation measures are provided.

#### 1.3 Load Combinations

#### 1.3.1 General

- A. The load-combination equations indicated below (i.e., paragraphs 1.3.2 and 1.3.3) shall be augmented in accordance with DOE-STD-1020-specific requirements (i.e., those indicated in the following two sub-paragraphs; 1.3.1.B and 1.3.1.C).
- B. DOE-STD-1020 Section 7.5.4.2.2 includes unique load-combination equations for the roof precipitation loads (i.e., S, R, and D<sub>i</sub>). The equations are unique in that they treat S, R and D<sub>i</sub> as extreme loads.<sup>30</sup>
- C. Although the DOE-STD-1020 load-combination equations for wind loads (W), found in Section 4.4.2.2.2, are not unique in treatment of W as an extreme load  $^{31}$ ; they are unique in that both the live load (L) and the roof live load (L<sub>r</sub>) occur in the same equation and receive the same emphasis.
- D. DOE-STD-1020 Section 8.4.2 includes unique load-combination equations for ash load (i.e., V). The equations are unique in that they treat  $L_r$  as an extreme load.

#### 1.3.2 Reinforced Concrete Members and Reinforced Masonry Members

- A. The load combinations of ACI 349 shall be used to combine demands for reinforced concrete and masonry structures/ members as amended by the following:
  - 1. The term  $E_{ss}$  in ACI 349 Equations 9-6 and 9-9 shall be replaced by  $E_{ss}/F_{\mu}$ , where  $F_{u}$  is the inelastic energy absorption factor in paragraph 1.3.4.<sup>32</sup>
- B. The load combinations in ACI 530 are omitted.

<sup>&</sup>lt;sup>30</sup> The authoritative nuclear standards -- ACI 349 and AISC N690 – treat R and S as normal loads in their load-combo equations. Ref. 349 equations 9-2 and 9-3, and N690 equations NB2-2 and NB2-3.

<sup>&</sup>lt;sup>31</sup> Same as what's done in ACI 349 and AISC N690. Ref. load-combo equations 9-7 and NB2-7, respectively.

 $<sup>^{32}</sup>$  Refer to ACI 349 Definitions, safe shutdown earthquake (SSE). In short, in DOE space,  $E_{ss} = E$  (i.e., DBE per para. 1.2.11 herein).

#### 1.3.3 Structural Steel Members, Cold-Formed Steel Members and Stainless Steel Members

- A. The load combinations of AISC N690 shall be used to combine demands for structural steel, cold-formed steel and stainless steel structures/ members as amended by the following:
  - 1. The term  $E_s$  in AISC N690 Equations NB2-6, NB2-9, NB2-15 and NB2-18 shall be replaced by  $E_{ss}/F_{\mu}$ , where  $F_{\mu}$  is the inelastic energy absorption factor in Section 1.3.4.<sup>33</sup>
  - 2. The AISC N690 load combination equations that shall be used for consideration of concurrently-acting  $D_i$  and  $W_i$  are NB2-4 and NB2-13 (i.e., severe equations, which are not to be confused with 'extreme ones' referred to in 1.3.1.B herein) subject to the following modifications:  $D_i$  shall be added to D, and  $W_i$  shall be taken to be  $W^{34}$ .
- B. The load combinations in ASCE 8 are omitted.
- C. The load combinations in AISI Standard S100 are omitted.

#### 1.3.4 Inelastic Energy Absorption Factor (F<sub>u</sub>)

- A. The factor  $F_{\mu}$  is defined in ASCE 43 for bending moment, shears and axial loads, except as amended below:<sup>35</sup>
  - 1. Use 1.0 for  $F_{\mu}$  for non-compact members or members subject to local buckling.
- B. For  $F_{\mu}$  for masonry and cold-formed steel members use 1.0.<sup>36</sup>
- C.  $F_{\mu}$  for stainless steel members shall be the same as that used for structural steel members in ASCE 43 provided that the ductility provisions of DOE-STD-1020 Section 3.6.4 are met.<sup>37</sup>

#### 1.4 Analysis Procedures

- A. The structural analysis shall be consistent with the requirements in the material codes identified in paragraph 1.1.1(D).
- B. Seismic modeling and analyses shall be consistent with the requirements of ASCE 43 and ASCE 4.
- C. Time histories shall meet the requirements of ASCE 43.

#### 1.5 Capacities

- A. ASCE 43 Section 4.2 shall be used for determining capacities as amended by the following:
  - 1. 4.2.2, Reinforced Concrete, add the following text:

\_

 $<sup>^{33}</sup>$  Refer to AISC N690 Glossary, Safe shutdown earthquake (SSE). In short, in DOE space,  $E_s = E$  (i.e., DBE per para. 1.2.11 herein).

<sup>&</sup>lt;sup>34</sup> Similar is done in ASCE 7 Sects. 2.3.4 and 2.4.3 (for commercial/non-nuclear).

 $<sup>^{35}</sup>$  ASCE 43 para. 5.1.2.1 defines  $F_{\mu}$  as indicated here

<sup>&</sup>lt;sup>36</sup> Ref. ASCE 43 Sections 4.1.2 and 5.1.2.1

<sup>&</sup>lt;sup>37</sup> Ibid

Section III - Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

**Chapter 5 - Structural** 

- a. ACI 349 Appendix C, Alternative Load and Strength-Reduction Factors, shall not be used in new design.<sup>38</sup>
- b. Delete ACI 349 Section 9.2.10.<sup>39</sup>
- c. Design of anchorage to concrete shall be in accordance with Appendix A of this Section.<sup>40</sup>
- 2. 4.2.4, Structural Steel, replace all text with the following text:
  - Design of structural steel structures shall be performed using 1.3.3.A herein and AISC N690.41
- 3. 4.2.5, Reinforced Masonry, replace all text with the following text:
  - a. Design of reinforced masonry structures shall be performed using 1.3.2 herein and ACI 530.42
  - b. Add the following sentence to ACI 530 Section 6.2: Post-installed (PI) anchor bolts shall not be used.<sup>43</sup>
  - c. Delete ACI 530 Chapter A, Empirical Design of Masonry. 44
- Add 4.2.6, Cold-Formed Steel:<sup>45</sup> 4.
  - a. Design of cold-formed steel (CFS) structures shall be performed using 1.3.3 herein and AISI S100.
- Add 4.2.7. Stainless Steel:<sup>46</sup> 5.
  - a. Design of stainless steel structures shall be performed using 1.3.3 herein and ASCE 8.

#### 1.6 **Detailing Requirements**

- A. Follow ASCE 43 Section 6, Ductile Detailing Requirements.
- B. Structural steel designs shall allow for/ensure the following:<sup>47</sup>
  - 1. Each column anchored with a minimum of four (4) anchor rods.

<sup>&</sup>lt;sup>38</sup> In order for the 349 capacities to be compatible with the ASCE-7 demands, 349 App. C can't be used. See Record of Revisions, Rev. 2.

The reduction in 'E' in 9.2.10 is only applicable SDC-5 SSCs; thus, use at LANL is not expected. Furthermore, per R9.2.10 --"...this provision assumes shear controls the design. Where that cannot be established, the licensed design professional is cautioned in the use of this provision." – it's safest to simply omit the provision.

40 Criteria provided in Appendix A for anchorage to concrete come from a variety of sources (e.g., DOE-O-420.1C, ACI

<sup>349,</sup> ASCE 7, LANL-specific requirements, etc.).

<sup>&</sup>lt;sup>41</sup> Only N690 is referred to by DOE O 420.1C and DOE-STD-1020 for NDC-3 – NDC-5. And N690 has changed significantly (to include inclusion of strength design / LRFD, and reference to AISC 341 for seismic detailing) since ASCE 43 was published. <sup>42</sup> Since the publication of ASCE 43, the IBC merely refers to ACI 530 for design capacity (due to significant change in 530).

<sup>&</sup>lt;sup>43</sup> LANL-specific requirement. 1<sup>st</sup>, DOE directives and standards are silent on nuclear design of masonry. 2<sup>nd</sup>, there is no nuclear design standard for masonry (like ACI 349 or AISC N690). 3<sup>rd</sup>, per 530 C8.1.3 and C9.1.6, design of PI anchors is not explicitly addressed (i.e., as is done in ACI 349 App. D).

<sup>&</sup>lt;sup>44</sup> Per 530 App. A, para. A.1.2.2, Empirical design is prohibited at LANL

<sup>&</sup>lt;sup>45</sup> LANL-specific requirement; DOE and ASCE 43 are silent on nuclear design of CFS

<sup>&</sup>lt;sup>46</sup> LANL-specific requirement; DOE and ASCE 43 are silent on nuclear design of stainless steel

<sup>&</sup>lt;sup>47</sup> OSHA requirements. For additional discussion, see ESM Chapter 5 Section II, paragraph 7.1.1, and LANL Master Specification Section 05 1000.

2. Each column base plate assembly, including the column-to-base plate weld and the column foundation, designed to resist a minimum eccentric gravity load of 300 pounds located 18 inches from the extreme outer face of the column in each direction at the top of the column shaft.

#### 1.7 Additional Structural Design Considerations

#### 1.7.1 Foundation Design

- A. Detailing of NDC-3 building foundations shall meet the following requirements:
  - 1. Minimum embedment depth of foundations is 36 inches unless the foundation bears directly on welded tuff. 48
  - 2. Interconnect all SDC-3 spread footing type foundations using tie beams <sup>49</sup>. The tie beam shall be capable of resisting, in tension or compression, a minimum horizontal force equal to 10% of the larger column vertical load. The tie beams shall also be capable of resisting bending due to prescribed differential settlements of the interconnected footings as stipulated by the project geotechnical report and to eccentric positioning of columns and corresponding column loads on spread footings, simultaneously with the horizontal force.<sup>50</sup>

#### 1.7.2 System Interaction

A. Potential interactions between SSCs (i.e., two-over-one phenomenon) shall be considered in the facility safety analysis and in the assignment of NDC (and LS for the seismic hazard) to SSCs. See ANS 2.26, Section 6.3.2.4 for more detail. For existing SSCs, following the guidance contained in DOE/EH-0545 Chapter 7, Seismic Interaction, may suffice, or at least be of use in addressing interaction(s).

#### 1.7.3 Progressive Collapse

A. Refer to Section II (of this Chapter) paragraph 1.6.

# 1.7.4 Permanent Explosive Facilities and Facilities Containing Explosives, or Those that can be Affected by Such

A. Refer to Section II (of this Chapter), paragraph 1.5.

#### 1.7.5 Additional Seismic Analysis/ Design Considerations

A. Follow ASCE 43 Chapter 7, Special Considerations.

#### 2.0 NDC-3 NONSTRUCTURAL SYSTEMS AND COMPONENTS

A. The structural design of NDC-3 nonstructural systems and components shall conform to paragraph 1.0 herein in addition to the following requirements.

\_

<sup>&</sup>lt;sup>48</sup> LA-14165 Sect. 3.3.1

The tie-beam provision was added to address the uncertainties associated with distributed faulting on the Pajarito Plateau.

<sup>&</sup>lt;sup>50</sup> ASCE/SEI 43 Sect. 7.4.3 requires compliance with the IBC SDC-D provisions for foundation design and detailing. See the footnote associated with ESM Ch. 5 Sect. II para. 3.3.2 for more detail.

- B. The design and documentation requirements for nonstructural systems and components vary, depending upon the functional requirements. For NDC-3 projects, the functionality requirements of nonstructural systems and components (that are SC, SS, or OEITS) are normally specified in preliminary hazards assessment documents, or documented safety analysis.
- C. The seismic design/qualification of nonstructural systems and components shall be in accordance with the requirements of ASCE 43 and paragraphs 1.1.1.F.1 and 1.1.1.F.2 herein.
  - Seismic input to nonstructural systems and components that are in / on structures shall consist of in-structure response spectra per the requirements stipulated in ASCE 4 (only to the extent these are consistent with the requirements of ASCE 43).
  - 2. Seismic input to nonstructural systems and components that are not in /on structures shall consist of the DBE stipulated in paragraph 1.2.11, modified to account for the response of adjacent structures per ASCE 4 Section 3.4.1.3, Subsystem Input Away from Reference Location.
  - 3. Seismic analysis /design of buried systems and components shall be in accordance with ASCE 4 Section 3.5, Special Structures.
- D. Seismic qualification of equipment may be performed by testing and/or by using actual earthquake experience or generic shake table test data subject to the criteria and limitations given in ASCE 43 Section 8.3, and DOE/EH-0545.
- E. The design/qualification of systems and components for non-seismic NPH loads shall include the loads and load combinations in paragraphs 1.2 and 1.3.2, respectively, herein.

#### 3.0 EXISTING HC 1 – 3 NUCLEAR FACILITIES WITH SSCS IN NDC-3

A. Refer to Section I of this Chapter (e.g., paragraph 1.3.C.)

#### 4.0 QUALITY ASSURANCE AND PEER REVIEW

A. Refer to Section I of this Chapter (e.g., paragraph 1.6.F).

## Section III – Nuclear SSCs Design and Analysis Requirements

Appendix A, Design of Anchoring to Concrete

Rev. 6, 3/27/15

#### APPENDIX A: DESIGN OF ANCHORING TO CONCRETE

#### A. 1 Description

- A. This Appendix establishes the technical requirements for design /analysis for structural embedments in concrete (used to transmit structural loads) for NDC-3 SSCs.
- B. Included: anchors, embedded plates, shear lugs, grouted embedments, and specialty inserts.
  - Cast-in-place (CIP) anchors include headed bolts, threaded and nutted bolts, headed studs, and hooked bolts. CIP anchors are of ASTM A36, A193 Gr B7, A354 Gr BD, A449, A572, A588, A687, or F1554 material. ASTM F1554 Gr. 55 is the preferred material specification in AISC N690. Welding and mechanical properties of headed studs shall comply with AWS D1.1 (per AISC 360) and ESM Chapter 13, Welding, Joining, and NDE.
  - 2. Post-installed (PI) anchors include only the Drillco Maxi-Bolt Undercut anchor (i.e., Maxi-Bolt). The Maxi-Bolt is available in standard lengths and in 1-inch increments shorter or longer than the standard lengths. The Maxi-Bolt is available in stud-type, and flush-mount-/coupling-type configurations. <sup>51</sup> Purchase, installation, and testing requirements for NDC-3 PI anchors are given in the LANL Master Specification Section listed below.
- C. Not Included: Through bolts; multiple anchors connected to a single steel plate at the embedded end of the anchors; direct anchors such as powder or pneumatic actuated nails or bolts; and PI anchors for commercial, and NDC-1 and NDC-2 applications. The latter anchors are included in Section II, Appendix A of this Chapter.

#### A.2 Definitions

- A. Definitions of anchors per ACI 355.2 apply.
- B. Unless noted otherwise, all variable notations (and their definitions) in this appendix follow ACI 349, Appendix D.
- C. Ductile Embedment Design: Applies when the strength of all 'concrete failure modes' is higher than the strength of embedment steel (i.e., failure is in the steel). See ACI 349, D.3.6.1 for more information.
- D. Nonductile Embedment Design: Applies when the strength of embedment steel is higher than the strength of the concrete failure modes (i.e., failure is in the concrete). See ACI 349, D.3.6.3 for more information.

#### **A.3** Applicable Codes and Standards

ACI 355.2 Qualification of Post-Installed Mechanical Anchors in Concrete and Commentary

ACI 349, App. D Code Requirements for Nuclear Safety Related Concrete Structures, Anchoring to Concrete

<sup>&</sup>lt;sup>51</sup> Incorporates VAR-2011-101 r1, 9/27/11 approving flush-mount-/coupling-type for sitewide use

## Section III – Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix A, Design of Anchoring to Concrete

#### A.4 Applicable Industry Standards

ASME NQA-1 Quality Assurance Requirements for Nuclear Facility Applications, American

Society of Mechanical Engineers

#### A.5 LANL and Other Documents

Master Spec Section 05 0521 Post-Installed Concrete Anchors Purchase – High Confidence

ESM Chapter 13 Welding, Joining, and NDE

24590-QL-BPO-FA02-00002-07-00001 Report of Maxi-Bolt Anchor Testing for the Hanford River

Protection Project Waste Treatment Plant

#### **A.6** Prerequisites for Determining Anchor Design Loads

A. The SEOR shall coordinate with appropriate personnel (i.e., refer to paragraph III.F) to determine and document the NPH Design Category (i.e., NDC) of the anchorage and, where NDC = SDC, the Limit State (LS) too. The NDC (or SDC+LS) shall be determined by considering both of the following:

- 1. The safety classification of the item or system being anchored (i.e., its required performance/ functionality), and
- 2. System interaction: refer to Section II, Appendix A of this chapter (*paragraph A.5.C*).
- B. Engineering drawings shall indicate the assigned NDC (or SDC+LS) used to design/analyze the anchorage of an SSC(s).

#### **A.7** Environmental Conditions

A. Anchors for indoor use in non-aggressive chemical environments may be carbon steel with a zinc electroplating. Anchors for use outdoors, or in aggressive environments, shall be galvanized, or made of stainless steel.

#### A.8 Requirements for Transfer of Shear Load to Foundations

A. For structures where the eave height exceeds 20 feet, provide shear lugs on base plates of columns in the SLRS. Design the lugs in accordance with the recommendations of the most current version of the AISC Steel Design Guide 1 - Base Plates and Anchor Rod Design. Do not rely on anchor bolts to transmit shear load in elements of the SLRS to foundation elements.

#### **A.9** General Design Requirements

A. CIP embedments (ref. A.1.B herein) should be used in lieu of PI embedments whenever possible, and particularly for resisting heavy loads; and for anchoring rotating, reciprocating, or vibrating equipment such as fans, pumps, and motors. In the event that concrete is already placed due to construction sequencing, contact the ESM Structural POC for guidance.

## Section III - Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix A, Design of Anchoring to Concrete

- 1. Use of Maxi-Bolts is acceptable for all equipment with motors less than 20 horsepower. 52
- B. PI embedments are used to attach SSCs to hardened concrete where CIP embedments do not exist, or where it is determined to be most effective and efficient to use PI embedments. Cases exist where PI embedments will be specified prior to concrete placement to allow for release of construction documents (such as where baseplate details, or locations are not known at the time of drawing issue). The decision to specify PI embedments prior to concrete placement should be weighed carefully, considering the amount of construction cost and time required to drill holes, field modify plates, and to avoid cutting rebar.
- C. PI embedments and surface-mounted plates are recommended for applications where support requirements are added or modified after concrete placement. PI embedments may be specified on design drawings prior to concrete placement for lightly-loaded items where a CIP embedment is not economical.
- D. Pretensioning of CIP anchors: Some examples of applications that might require pretension include structures that cantilever from concrete foundations, moment-resisting column bases with significant tensile forces in the anchor rods, or where load reversal / vibration might result in the progressive loosening of the nuts on the anchor rods. Refer to the AISC Steel Construction Manual, "Anchor Rod Nut Installation"; and/ or equipment manufacturers' recommendations (i.e., whenever pretensioning is specified for anchors used for rotating or vibrating equipment), for more detail / guidance.
  - The majority of anchorage applications do not require pretension. In these
    instances, anchor rod nuts are merely tightened to a snug-tight condition. Snugtight is defined as tightness attained by a few impacts of an impact wrench, or the
    full effort of an ironworker with an ordinary spud wrench.
  - 2. When nuts are subject to possible loosening, and prestensioning is not possible /desirable, a locking method should be provided. Acceptable locking methods include: double nuts or jam nuts, interrupted rod threads, and tack welds (complying with the welding requirements of AISC N690).
- E. Use of PI anchors shall comply with the following:
  - 1. PI anchors should not be installed through liner plate. Contact the ESM Structural POC for guidance.
  - 2. PI-anchor design shall provide for limited anchor relocation (i.e., at least  $\pm$  1 inch) to facilitate anchor installation. This will require over-sized baseplates to allow for field drilling of holes. Due consideration shall be given to the location tolerances of the anchors to avoid interferences with rebar.<sup>53</sup>
  - 3. Welding to PI anchors is not permitted.
  - 4. PI anchors shall not be used in masonry walls. Through-bolting may be an acceptable alternative.

<sup>&</sup>lt;sup>52</sup> Ref. para. 3.3.2 of the original source for this appendix (i.e., Sect. III Rev. 4): Bechtel-Hanford 24590-WP-3PS-FAO2-T0005, Rev 0, *Design of Post Installed Concrete Anchors for Important to Safety (ITS) Applications*.

<sup>&</sup>lt;sup>53</sup> Ref. footnote associated with para. A.10.B.1 of Sect. II, App. A, Rev. 9 of this Chapter

## Section III – Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix A, Design of Anchoring to Concrete

- 5. A minimum of two (2) PI anchors shall be used at each connection. One (1) anchor may be use used for connecting conduit clamps or for similar installations. *Note:* equipment, glove boxes, etc. mounted on four-legged-type frames may have one anchor bolt per leg.
- 6. PI anchors shall not be located in the bottom of precast and pre/post-tensioned T-beams stems. PI anchors into the sides of the T-beam stems shall be designed (prior to installation), and the design must be approved by the SEOR. And, in such designs, anchors shall not be located closer than 6 inches to pre-/ post-tensioning steel.

#### A.10 Design Requirements

- A. Anchor design strength shall be determined in accordance with ACI 349, Appendix D. Note: ACI 349, Appendix D, allows for a choice in the selection of strength-reduction factors: Those in paragraph D.4.4 must be used with the load combinations of Section 9.2, while those in paragraph D.4.5 must be used with the load combinations of Section C.9.2.
- B. All new PI-anchor design shall be based on 'cracked' concrete unless it is analytically proven (and documented) that the concrete remains uncracked (i.e., tensile stresses in concrete do not exceed  $7.5\sqrt{f_c'}$ ) under service loads, including wind and seismic forces.
- C. Appendix B (herein), as well as the following, shall apply to the design of Maxi-Bolts. The sections and paragraphs listed in what follows are from ACI 349, Appendix D:
  - D.4.2.1 The effect of supplementary reinforcement shall not be considered in the design of Maxi-Bolts (i.e., Condition B in D.4.4 shall be used)<sup>54</sup>.
  - D.4.2.2 For Maxi-Bolts with tensile embedments exceeding 25", contact the ESM Structural POC for guidance for determining concrete breakout strength.
  - D.5.2.2 Use the following  $k_c$  value for the Maxi-Bolt: 24.
  - D.5.2.6 Use the following  $\psi_{c,N}$  value for the Maxi-Bolt: 1.0 (unless "uncracked" in paragraph A.9.B is proven).
  - $D.5.3.1 \quad N_{pn} \ need \ not \ be \ considered \ for \ the \ Maxi-Bolt \ (since "pullout" \ did \ not \ occur \ during \ testing).$
  - D.5.3.5 Use the following  $\psi_{c,P}$  value for the Maxi-Bolt: 1.0 (unless "uncracked" in paragraph A.9.B is proven).
  - D.6.2.7 Use the following  $\psi_{c,V}$  value for the Maxi-Bolt: 1.0 (unless "uncracked" in paragraph A.9.B is proven).
  - D.7 In lieu of Sections D.7.1, D.7.2, and D.7.3, the shear-tension interaction expression given in Section RD.7 may be used with  $\alpha = 5/3$ .

<sup>&</sup>lt;sup>54</sup> Since PI anchors are used in hardened concrete, it is highly unlikely that supplementary reinforcement (as defined in ACI 349 App. D) exists in a prospective anchor location.

## Section III – Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix B, Design Tables for Drillco Maxi Bolt Undercut Anchors

# APPENDIX B: DESIGN TABLES FOR DRILLCO MAXI-BOLT UNDERCUT ANCHORS

#### Table III.B - 1 Drillco Maxi-Bolt – Naming System

Drillco Maxi-Bolts shall be specified on engineering drawings using the following naming system:

#### Material - Diameter - Overall Length - Sleeve Length

Example: MBA36-500-10-6 1/2

#### **Material:**

MBA36 = ASTM A36 (Carbon Steel)

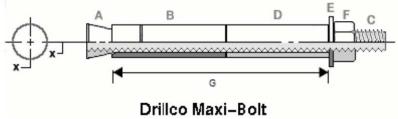
MB = ASTM A 193 B7 (High Strength Steel)

SAMB = ASTM A 193 B8

#### Diameter:

375 = 3/8" 500 = 1/2" 625 = 5/8" 750 = 3/4" 1000 = 1" 1250 = 1-1/4"

# Maxi-Bolt Part Specification A Conical Nut B Expansion Sleeve C Threaded Stud Bolt D Distance Tube E Washer F Heavy Hex Nut G Embedment Length



#### **Overall Length:**

Anchor overall lengths are available in 1" increments longer or shorter than the standard lengths. Standard overall lengths are given in Tables 2, 3, 4, and 5.

#### **Sleeve Length:**

Sleeve length is equal to the embedment depth for Type I installations (i.e. top of sleeve is flush with the top of concrete). Type II installations is where the sleeve projects through the baseplate hole and the top of sleeve is flush with the top of plate.

Standard sleeve/embedment lengths are given in Tables 2, 3, 4, and 5. Design data are given in Table 6.

Appendix B, Design Tables for Drillco Maxi Bolt Undercut Anchors

Table III.B-2 Drillco Maxi-Bolt – Standard Sizes (Carbon Steel A36)

ANCHOR PART NO.	ANCHOR STUD DIAMTER (IN)	MAXIMUM ATTACHMENT THICKNESS (IN)	STANDARD TOTAL LENGTH <sup>1</sup> (IN)	EMBEDMENT (IN)
MBA36-375-6-3 1/4	3/8	2	6	3 1/4
MBA36-375-6-4 1/2	3/8	1	6	4 1/2
MBA36-500-7-4 1/2	1/2	1 3/4	7	4 1/2
MBA36-500-9-6	1/2	2 1/4	9	6
MBA36-500-10-7	1/2	2 1/4	10	7
MBA36-625-8-5 1/2	5/8	1 3/4	8	5 1/2
MBA36-625-10-7 1/2	5/8	1 3/4	10	7 1/2
MBA36-625-11-8	5/8	2 1/4	11	8
MBA36-750-10-7	3/4	2	10	7
MBA36-750-13-9	3/4	3	13	9
MBA36-750-14-10 1/2	3/4	3 1/2	14	10 1/2
MBA36-1000-13-9	1	2 3/4	13	9
MBA36-1000-16-12	1	2 3/4	16	12
MBA36-1000-18-14	1	2 3/4	18	14
MBA36-1250-16-12	1 1/4	2 3/4	16	12
MBA36-1250-18-14	1 1/4	2 3/4	18	14
MBA36-1250-23-19	1 1/4	2 3/4	23	19

<sup>&</sup>lt;sup>1</sup>SEOR may specify a longer total length to accommodate more projection (grout, attachment thickness, etc.).

Table III.B-3 Drillco Maxi-Bolt – Standard Sizes (ASTM A193 B7)

ANCHOR PART NO.	ANCHOR STUD DIAMTER (IN)	MAXIMUM ATTACHMENT THICKNESS (IN)	STANDARD TOTAL LENGTH <sup>1</sup> (IN)	EMBEDMENT (IN)
MB-375-6-3 1/2	3/8	2 1/2	6	3 1/2
MB-375-6-4 1/2	3/8	1 1/2	6	4 1/2
MB-500-8 1/4-6	1/2	1	8	6
MB-500-11 1/4-8	1/2	2	11	8
MB-500-13 1/4-10	1/2	2	13	10
MB-625-10-7 1/2	5/8	1 1/4	10	7 1/2
MB-625-13-9 1/2	5/8	2 1/4	13	9 1/2
MB-625-16-12	5/8	2 3/4	16	12
MB-750-13 1/2-9 1/4	3/4	2 3/4	13	9 1/4
MB-750-16 1/2-11 1/2	3/4	3 1/2	16	11 1/2
MB-750-18 1/2-13	3/4	4	18	13
MB-1000-16 1/2-12 1/2	1	2	16	12 1/2
MB-1000-22 1/2-16 1/2	1	3 1/2	22	16 1/2
MB-1000-26 1/2-20 1/2	1	3 1/2	26	20 1/2
MB-1250-20-16	1 1/4	1 3/4	20	16
MB-1250-30-23	1 1/4	5	30	23
MB-1250-37-29 1/2	1 1/4	5 1/2	37	29 1/2

<sup>&</sup>lt;sup>1</sup>SEOR may specify a longer total length to accommodate more projection (grout, attachment thickness, etc.).

Appendix B, Design Tables for Drillco Maxi Bolt Undercut Anchors

Table III.B-4 Drillco Maxi-Bolt – Standard Sizes (ASTM A193 B8 Class 1)

ANCHOR PART NO.	ANCHOR STUD DIAMTER (IN)	MAXIMUM ATTACHMENT THICKNESS (IN)	STANDARD TOTAL LENGTH <sup>1</sup> (IN)	EMBEDMENT (IN)
SAMB-375-6-3 1/2	3/8	2 1/2	6	3 1/2
SAMB-375-6-4 1/2	3/8	1 1/2	6	4 1/2
SAMB-500-8-5	1/2	2	8	5
SAMB-500-10-6 1/2	1/2	2 1/2	10	6 1/2
SAMB-625-10-6	5/8	2 3/4	10	6
SAMB-625-12-8	5/8	2 3/4	12	8
SAMB-750-11-7	3/4	2 1/2	11	7
SAMB-750-13-9 1/2	3/4	2	13	9 1/2
SAMB-1000-14-9 1/2	1	2 1/2	14	9 1/2
SAMB-1000-16-12	1	2	16	12
SAMB-1250-16-12	1 1/4	1 3/4	16	12
SAMB-1250-22-16	1 1/4	3 3/4	22	16

<sup>&</sup>lt;sup>1</sup>SEOR may specify a longer total length to accommodate more projection (grout, attachment thickness, etc.).

Table III.B-5 Drillco Maxi-Bolt – Standard Sizes (ASTM A193 B8 Class 2)

	ANCHOR STUD DIAMTER	MAXIMUM ATTACHMENT THICKNESS	STANDARD TOTAL LENGTH <sup>1</sup>	EMBEDMENT
ANCHOR PART NO.	(IN)	(IN)	(IN)	(IN)
SAMB-375-6-4 1/2	3/8	1 1/2	6	4 1/2
SAMB-500-8-6	1/2	1	8	6
SAMB-500-11-8	1/2	2	11	8
SAMB-500-13-10	1/2	2	13	10
SAMB-625-10-7 1/2	5/8	1 1/4	10	7 1/2
SAMB-625-13-9 1/2	5/8	2 1/4	13	9 1/2
SAMB-625-16-12	5/8	2 3/4	16	12
SAMB-750-13-9 1/4	3/4	2 3/4	13	9 1/4
SAMB-750-16-11 1/2	3/4	3 1/2	16	11 1/2
SAMB-750-18-13	3/4	4	18	13
SAMB-1000-16-12	1	2	16	12
SAMB-1000-22-16	1	4	22	16
SAMB-1000-26-20	1	4	26	20
SAMB-1250-20-15	1 1/4	3	20	15
SAMB-1250-25-20	1 1/4	3	25	20
SAMB-1250-30-25	1 1/4	3	30	25

SEOR may specify a longer total length to accommodate more projection (grout, attachment thickness, etc.).

## Section III - Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix B, Design Tables for Drillco Maxi Bolt Undercut Anchors

#### Table III.B-6 Drillco Maxi-Bolt Design Data

				High Strength	Stainless Steel A	Stainless Steel A
			Carbon	Steel A	193 B8	193 B8
	Symbol	Units	Steel A36	193 B7	Class 1	Class 2
Yield Stress of Anchor Steel	$f_{y}$	PSI	36,000	105,000	30,000	100,000
Ultimate Stress of Anchor Steel	$f_{ut}$	PSI	58,000	125,000	75,000	125,000
Ultimate Stress of Anchor Sleeve	$f_{utsi}$	PSI	75,000	75,000	75,000	75,000
Effectiveness Factor, Cracked Concrete	k	-	24	24	24	24
Increase Factor for Uncracked Concrete	ψ3	-	1.0	1.0	1.0	1.0
Ductile Design Minimum Edge Distance	$Cd_{min}$	IN	6d <sub>o</sub>	6d <sub>o</sub>	6d <sub>o</sub>	6d <sub>o</sub>
Ductile Design Min. spacing to achieve ductile design	$Sd_{min}$	IN	(Note 1)	(Note 1)	(Note 1)	(Note 1)
Non-ductile Design (Note 2) Minimum Edge Distance	Cn <sub>min</sub>	IN	1.5h <sub>ef</sub>	$1.5h_{ef}$	1.5h <sub>ef</sub>	$1.5h_{ef}$
Non-ductile Design Minimum Spacing	Sn <sub>min</sub>	IN	8d <sub>o</sub>	8d <sub>o</sub>	8d <sub>o</sub>	8d <sub>o</sub>

ANCHOR DIAMETER	Symbol	Units	3/8	1/2	5/8	3/4	1	1-1/4
Stud Diameter	$d_s$	IN	0.375	0.500	0.625	0.750	1.000	1.250
Stud Tensile Area	$A_{se}$	$IN^2$	0.078	0.142	0.226	0.334	0.606	1.000
Sleeve Cross-Sectional Area	$A_{sl}$	$IN^2$	0.190	0.290	0.317	0.486	1.257	1.875
Undercut Bearing Area	$A_{brg}$	$IN^2$	0.196	0.306	0.395	0.583	1.289	1.914
Sleeve outer Diameter	$d_{o}$	IN	0.625	0.800	0.905	1.101	1.625	2.000
Sleeve inner Diameter	$d_i$	IN	0.385	0.520	0.645	0.770	1.020	1.270
Anchor Axial Stiffness, Uncracked <sup>7</sup>	$\beta_{un}$	Kip/in	173	478	376	915	N/A	N/A
Anchor Axial Stiffness, Cracked <sup>7</sup>	$\beta_{cr}$	Kip/in	78	119	196	500	411	863

#### Notes:

- 1) For **ductile** anchors located greater than 6d<sub>o</sub> from a free edge, the minimum spacing shall be based on ductile design.
- 2) For **non-ductile** anchors with edge distances closer than 1.5h<sub>ef</sub>, contact the ESM Structural POC for guidance.
- 3) Maximum embedment, h<sub>ef</sub>, is 2/3 the thickness of the concrete member.
- 4) Minimum member thickness for the above spacings and edge distances is 12".
- 5) Minimum concrete strength to achieve the above spacings and edge distances is  $f'_c = 4,000$  psi.
- 6) Tensile area for 1-1/4" diam. Maxi-Bolt is based on 8 threads per inch.
- 7) Anchor stiffness values are from test report. N/A = the uncracked test was not performed for this diameter.

## Section III - Nuclear SSCs Design and Analysis Requirements

Appendix C, Limit State Application to SSC Examples

#### APPENDIX C: LIMIT STATE APPLICATION TO SSC EXAMPLES

Adapted for LANL from American National Standard ANSI/ANS-2.26-2004 Appendix B

The selection of a Limit State for structures, systems, and components (SSCs) will depend on SSC component type and the safety function it performs. This appendix provides guidance for selection of a Limit State through use of examples. The examples should not be interpreted as requirements. The selection of the Limit State should be based on the specific safety analysis and the safety function of the SSC.

SSC Type	Limit State A	Limit State B	Limit State C	Limit State D	
Generic	Refer to ESM Ch 5 Section III body (or ANS 2.26 Section 5) for the definitions of the four Limit States addressed in this table.				
Building structural components	Substantial loss of SSC stiffness and some strength loss may occur, but some margin against collapse is retained so that egress is not impaired; building needs major repair and may not be safe for occupancy until repaired.	Some loss of SSC stiffness and strength may occur, but SSC retains substantial margin against collapse; building may need some repair for operations and occupancy to continue.	The SSC retains nearly full stiffness and retains full strength, and the passive component it is supporting will perform its normal and safety functions during and following an earthquake.	SSC damage is negligible; structure retains full strength and stiffness capacities; building is safe to occupy and retains normal function.	
Structures or vessels for containing hazardous material	Applicable to vessels and tanks that contain material that is either not very hazardous or leakage is contained or confined by another SSC to a local area with no immediate impact to the worker. Recovery from a spill may be completed with little risk, but the vessel is not likely to be repairable. Most likely applicable to vessels containing low hazard solids or liquids.	Applicable to vessels and tanks whose contents if released slowly over time through small cracks will either be contained by another SSC or acceptably dispersed with no consequence to worker, public, or environment. Cleanup and repair may be completed expediently. Most likely applicable to moderate-hazard liquids or solids or low-hazard low-pressure gases.	Applicable to low-pressure vessels and tanks with contents sufficiently hazardous that release may potentially injure workers. Damage will be sufficiently minor to usually not require repair.	Content and location of item is such that even the smallest amount of leakage is sufficiently hazardous to workers or the public that leak-tightness must be assured. Most likely applicable to moderate and highly hazardous pressurized gases but may be required for high-hazard liquids. Postearthquake recovery is assured.	

## Section III – Nuclear SSCs Design and Analysis Requirements

Appendix C, Limit State Application to SSC Examples

SSC Type	Limit State A	Limit State B	Limit State C	Limit State D
Confinement barriers and systems containing hazardous material (e.g., glove boxes, building rooms, and ducts)	No SSC of this type should be designed to this Limit State.	Barriers could be designed to this Limit State if exhaust equipment is capable of maintaining negative pressures with many small cracks in barriers and is also designed to Limit State D for long-term loads. Safety-related electrical power instrumentation and control if required must also be assured including the loss of off-site power. Localized impact and impulse loads may be considered in this Limit State.	Barriers could be designed to this Limit State if exhaust equipment is capable of maintaining negative pressures with few small cracks in barriers and is also designed to Limit State D for long-term loads. Safety-related electrical power instrumentation and control if required must also be assured including the loss of off-site power. Adequate confinement without exhaust equipment may be demonstrable for some hazardous materials.	Systems with barriers designed to this Limit State may not require active exhaust depending on the contained hazardous inventory and the potential for development of positive pressure. Safety related electrical power, instrumentation, and control, if required, must also be assured including the loss of off-site power.
Equipment support structures, including support structures for pressure vessels and piping, fire suppression systems, cable trays, heating ventilation and air- conditioning ducts, battery racks, etc.	The SSC may undergo substantial loss of stiffness and some loss of strength, and yet the equipment it is supporting may perform its safety functions (normal function may be impaired) following exposure to specified seismic loads; the SSC retains some margin against such failures that may cause systems interactions.	The SSC may undergo some loss of stiffness and strength, and yet the equipment it is supporting may perform its safety functions (normal function may be impaired) following exposure to specified seismic loads; the SSC retains substantial margin against such failures that cause systems interactions.	The SSC retains nearly full stiffness and retains full strength, and the passive equipment it is supporting may perform its normal and safety functions during and following exposure to specified seismic loads.	No SSC of this type should be designed to this Limit State.

## Section III - Nuclear SSCs Design and Analysis Requirements

Appendix C, Limit State Application to SSC Examples

Rev. 6, 3/27/15

SSC Type	Limit State A	Limit State B	Limit State C	Limit State D
Mechanical or electrical SSCs	The SSC must maintain its structural integrity. It may undergo large permanent distortion and yet perform its safety functions; no assurance that the SSC will retain its normal function or will remain repairable.	The SSC must remain anchored, and if designed as a pressure-retaining SSC, it must maintain its leak-tightness and structural integrity. It may undergo moderate permanent distortion and yet perform its safety functions; there is some assurance that the SSC will retain its normal function and will remain repairable.	The SSC must remain anchored, and if designed as a pressure-retaining SSC, it must maintain its leak-tightness and structural integrity. It may undergo very limited permanent distortion and yet perform its normal functions (with little or no repair) and safety functions after exposure to its specified seismic loads.	The SSC remains essentially elastic and may perform its normal and safety functions during and after exposure to its specified seismic loads.
High-efficiency particulate absorber filter assemblies and housings	Assemblies designed to this level should have no nuclear or toxic hazard safety functions.	Assemblies designed to this level should have no nuclear or toxic hazard safety functions.	This Limit State may be expected to be applied to systems categorized as SDC-4 or lower.	N/A at LANL (this Limit State may be expected to be applied to systems classified as SDC-5 and possibly some in SDC-4).
Electrical raceways (cable trays, conduits, raceway channels)	The electrical raceways may undergo substantial distortion, displacement, and loss of stiffness, but the connections (e.g., at the penetrations or at the junction boxes) are very flexible or are such that the cables may still perform their function during and following exposure to specified seismic loads.	The electrical raceways may undergo some distortion, displacement, and loss of stiffness, but the connections (e.g., at the penetrations or at the junction boxes) have some flexibility or are such that the cables may still perform their function during and following exposure to specified seismic loads.	Cable connection (e.g., at the penetrations or at the junction boxes) are rigid or brittle or are such that the electrical raceways may undergo only very limited distortion, displacement, and loss of stiffness during exposure to specified seismic loads before the cable functions are impaired.	Cable connections (e.g., at the penetrations or at the junction boxes) are very rigid or brittle or are such that the electrical raceways may undergo essentially no distortion or loss of stiffness during exposure to specified seismic loads before the cable functions are impaired.
Deformation sensitive SSCs <sup>55</sup>	These types of SSCs should not be designed to this Limit State.	These types of SSCs should not be designed to this Limit State.	Functional evaluation is required when designing to this Limit State. Component testing may be required.	This type of SSC should typically be designed to this Limit State, and testing may be required.

\_

<sup>&</sup>lt;sup>55</sup> Deformation-sensitive SSCs are defined as those whose safety functions may be impaired if these SSCs undergo deformations within the elastic limit during an earthquake (e.g., a valve operator, a relay, etc.).

## Section III - Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix C, Limit State Application to SSC Examples

SSC Type	Limit State A	Limit State B	Limit State C	Limit State D
Anchors and anchor bolts for equipment and equipment support structures	To ensure that system interactions do not occur during an earthquake, no anchors or anchor bolts should be designed to this Limit State. <sup>56</sup>	The anchors or anchor bolts may undergo only moderate permanent distortion without impairing the safety function of the equipment (normal function may be impaired) following exposure to the specified seismic loads.	The anchors or anchor bolts may undergo very limited permanent distortion without impairing the normal and safety functions of the equipment following exposure to the specified seismic loads.	The anchors or anchor bolts need to remain essentially elastic so as not to impair the normal and safety functions of the equipment during and following exposure to the specified seismic loads.
Pressure vessels and piping <sup>57</sup>	Tanks, pressure vessels, and piping systems that do not contain or carry any hazardous fluid, have no safety functions, and whose gross leakage during and following an earthquake will not impact safety. Repair may require replacement of vessel and piping.	Tanks, pressure vessels, and piping systems that can perform their safety function even if they develop small leaks as a result of moderate permanent distortion caused by a design-basis earthquake. In situ repair of vessel may be possible. The safety function of the SSC may include confinement if the radiological release is within prescribed limits.	Tanks, pressure vessels, and piping systems that may have no significant spill and leakage during and following and earthquake. Includes vessels and piping systems that have confinement as a safety function.	Tanks, pressure vessels, and piping systems that are required to have very high confidence of no spills and leakage during and following an earthquake. Includes vessels and piping systems that have containment as a safety function.

\_

<sup>&</sup>lt;sup>56</sup> Anchor bolts designed to code-allowables generally will exceed this Limit State because of conservatism inherent in the standard design procedures (e.g., factor of safety of 4 for expansion anchors). This assumes that appropriate over strength factors of the attached members are considered.

<sup>&</sup>lt;sup>57</sup> Pressure vessels and piping systems designed to ASME Boiler and Pressure Vessel Code (B&PVC), Section III, Division 1, Service Level D are capable of providing containment function (i.e., Limit State D), even though the code permits stress levels beyond the yield stress. Thus, pressure vessels and piping systems that have confinement as a safety function are permitted to be designed to ASME B&PVC, Section III, Service Level D.

## Section III – Nuclear SSCs Design and Analysis Requirements

Rev. 6, 3/27/15

Appendix D, Design Basis Earthquake Loads

## APPENDIX D: DESIGN BASIS EARTHQUAKE LOADS

- A. The LANL design basis earthquake response spectra are defined in the free-field at the ground surface.
- B. The design basis earthquake (DBE) response spectra in Tables III.D-1 4 and Figures III.D-1 4 below are the Design Response Spectra (DRS) defined in ASCE 43 Equation 2-1, and include the effects of the Design Factor (DF).
  - 1. The LANL DBE response spectra for <u>site-wide use</u>, <u>excluding TA-55 site</u>, is specified in Tables III.D-1 and III.D-2, and Figures III.D-1 and III.D-2.<sup>58</sup>
  - 2. The LANL DBE response spectra <u>for TA-55 site</u> are specified in Tables III.D-3 and III.D-4, and Figures III.D-3 and III.D-4. <sup>59</sup>
  - 3. Response spectra at intermediate frequencies shall be obtained by log-log interpolation. Response spectra at intermediate damping shall be obtained using the interpolation procedure in ASCE 4 Section 2.2.1.
- C. Soil-Structure Interaction. The requirements of ASCE 4 Section 3.3 shall be followed, except that the Wave Incoherence provision, given in Section 3.3.1.10 of ASCE 4, shall not be used.
- D. Lateral soil pressure, H, on embedded structures resulting from earthquake ground shaking shall be calculated using ASCE 4 and shall be included in E (or E<sub>s</sub>, or E<sub>ss</sub>). Paragraph 1.2.5.B herein applies.
- E. Site Limitation: Structures shall not be located within 50 feet of known active faults. Hazardous waste treatment, storage and disposal facilities must not be located within 200 feet of a fault that has had displacement within the last 11,000 years per 40 CFR 264. 60
- F. The potential for seismic-induced displacement hazards (i.e., fault rupture) must be assessed on a project-specific basis. The project-specific plan for addressing fault displacement hazards associated with new construction shall be submitted to the LANL Engineering Standards Chapter 5 Point of Contact, or designee, for review and approval prior to the start of construction.
  - 1. A minimum fault displacement of 2 cm (0.8 in.) at the surface shall be used for design<sup>61</sup>.

<sup>&</sup>lt;sup>58</sup> And TA-50, with approved variance addressing geotechnical consistency

<sup>&</sup>lt;sup>59</sup> Except for TA-55, PC-3 (SDC-3) ground motion were derived following DOE-STD-1023 and the results of URS Corp, "Update of the Probabilistic Seismic Hazard Analysis and Development of Seismic Design Ground Motions at the Los Alamos National Laboratory," May, 2007. The derivation of this ground motion for the site can be found in LANL Calculation SB-DO: CALC 08-038, 10/8/08. For TA-55, the SDC-3 ground motion was derived from the results of URS Corp, "Update of the Probabilistic Seismic Hazard Analysis and Development of CMRR Design Ground Motions Los Alamos National Laboratory, New Mexico", October, 2009. See calc SB-DO: CALC-09-024, Rev. 0 for derivation.

 $<sup>^{60}</sup>$  Modified version of ASCE 7 Sect. 11.8.1. Ref. ESM Ch. 5 Sect. II para. 1.4.2.A.

<sup>&</sup>lt;sup>61</sup> From fault rupture studies.

Table III.D-1 Site-Wide Free-Field Surface SDC-3 DBE Horizontal DRS

	Spectral Acceleration (g)			
Frequency (Hz)	2% Damping 5% Damping 10% Damping			
0.2	0.107	0.094	0.073	
0.498	0.662	0.583	0.456	
0.925	1.374	1.083	0.862	
9	1.374	1.083	0.862	
33	0.482	0.482	0.482	
100	0.482	0.482	0.482	

Table III.D-2 Site-Wide Free-Field Surface SDC-3 DBE Vertical DRS

	Spectral Acceleration (g)			
Frequency (Hz)	2% Damping	10% Damping		
0.2	0.048	0.039	0.032	
0.469	0.262	0.213	0.175	
1	0.585	0.455	0.354	
8	2.499	1.885	1.454	
12	2.499	1.885	1.454	
50	0.564	0.564	0.564	
100	0.564	0.564	0.564	

Table III.D-3 TA-55 Free-Field Surface SDC-3 DBE Horizontal DRS

		Spectral Acceleration (g)		
Period (s)	Frequency (Hz)	2% Damping	5% Damping	10% Damping
10	0.100	0.025	0.021	0.018
5	0.200	0.125	0.106	0.090
1.5	0.667	0.690	0.552	0.444
1	1.000	0.915	0.724	0.578
0.5	2.000	1.022	0.806	0.640
0.15	6.667	1.115	0.888	0.712
0.1	10.000	0.933	0.770	0.640
0.85	11.765	0.826	0.695	0.589
0.075	13.333	0.752	0.643	0.552
0.06	16.667	0.644	0.566	0.498
0.05	20.000	0.578	0.519	0.466
0.03	33.333	0.439	0.419	0.419
0.02	50.000	0.419	0.419	0.419
0.01	100.000	0.419	0.419	0.419

Table III.D-4 TA-55 Free-Field Surface SDC-3 DBE Vertical DRS

		Spectral Acceleration (g)		
Period (s)	Frequency (Hz)	2% Damping	5% Damping	10% Damping
10	0.100	0.015	0.012	0.010
5	0.200	0.074	0.062	0.052
1.5	0.667	0.403	0.312	0.246
1	1.000	0.538	0.412	0.322
0.5	2.000	0.682	0.563	0.404
0.15	6.667	1.302	0.971	0.741
0.1	10.000	1.524	1.173	0.922
0.85	11.765	1.623	1.266	1.006
0.075	13.333	1.623	1.266	1.006
0.06	16.667	1.431	1.141	0.925
0.05	20.000	1.216	0.989	0.816
0.03	33.333	0.741	0.655	0.585
0.02	50.000	0.474	0.474	0.474
0.01	100.000	0.474	0.474	0.474

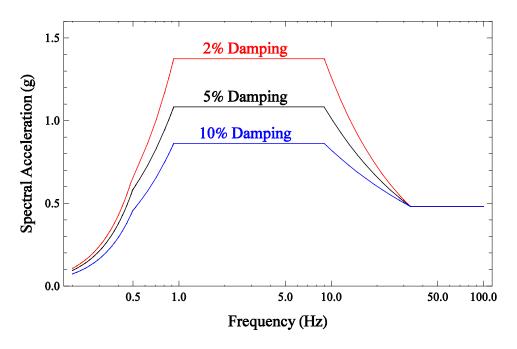


Figure III.D-1 Site Wide Free-Field Surface SDC-3 DBE Horizontal DRS

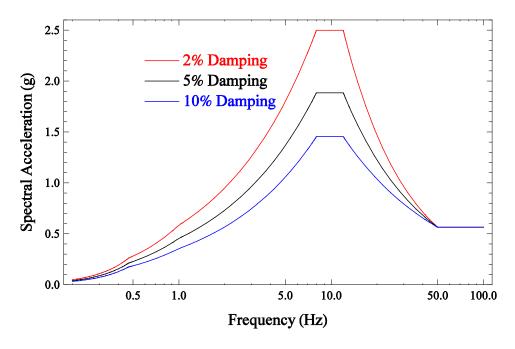


Figure III.D-2 Site Wide Free-Field Surface SDC-3 DBE Vertical DRS

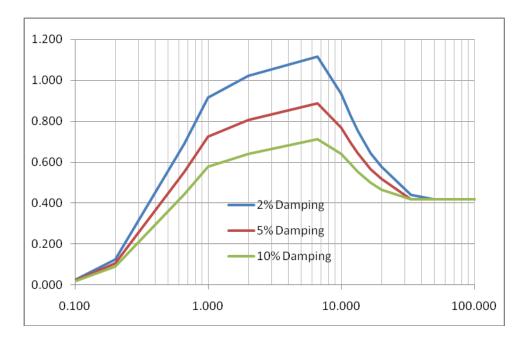


Figure III.D-3 TA-55 Free-Field Surface SDC-3 DBE Horizontal DRS [acceleration (g) on vertical versus frequency (Hz) on horizontal]

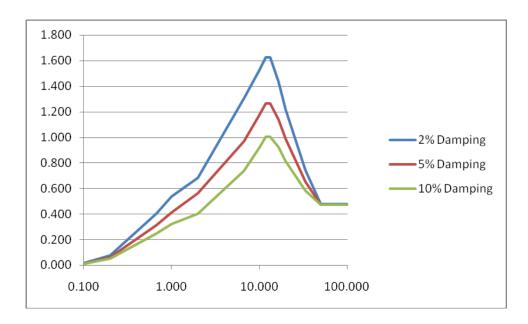


Figure III.D-4 TA-55 Free-Field Surface SDC-3 DBE Vertical DRS [acceleration (g) on vertical versus frequency (Hz) on horizontal]